

PATENT

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VA 22313-1450.

Phoebe E. Pogson

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/652,579 Confirmation No. : 4026

Applicant: Vishnu K. Agarwal

Filed: August 31, 2000 Attorney Docket No.: 501082.16

Art Unit : 2825 Customer No. : 27,076

Examiner : Caridad Everhart

Title : DEVICE AND METHOD FOR PROTECTING AGAINST OXIDATION OF A

CONDUCTIVE LAYER IN SAID DEVICE

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicant wishes to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references, as required under 37 C.F.R. § 1.98, are enclosed). Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his invention is patentable.

A fee of \$180 is submitted in accordance with 37 C.F.R. § 1.97(c). The Commissioner is authorized to charge any other fees which may be required, or credit any overpayment to Deposit Account No. 50-1266. A duplicate copy of this sheet is enclosed.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

DORSEY & WHITNEY LLP

Mark W. Roberts, Ph.D.

Registration No. 46,160

MWR:pep

Enclosures:

Postcard Check Fee Transmittal (+ copy) Copy of Supplemental IDS Form PTO-1449 Cited References (3)

1420 Fifth Avenue, Suite 3400 Seattle, WA 98101 Telephone (206) 903-8800 Facsimile (206) 903-8820

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FORM PTO- (REV.7-80)	APR 1' 9 2004 8
IN	PANATION DESCL

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 501082.14

APPLICATION NO. 09/652,579

OSURE STATEMENT APPI

126 several sheets if necessary)

APPLICANT(S)
Vishnu K. Agarwal

FILING DATE

GROUP ART UNIT

2825

August 31, 2000

U.S. PATENT DOCUMENTS DOCUMENT NUMBER DATE NAME **CLASS SUBCLASS** FILING DATE *EXAMINER INITIAL IF APPROPRIATE 4,599,678 07/08/86 Wertheimer et al. 361 323 AA 6,156,674 12/05/00 Li et al. 438 780 AB 6,350,706 B1 Howard 02/26/02 438 781 ACAD ΑE AF AG AH ΑI ΑJ FOREIGN PATENT DOCUMENTS TRANSLATION DOCUMENT NUMBER DATE **COUNTRY CLASS SUBCLASS** YES NO ΑK ΑL ΑM AN ΑO OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.) AP AQ AR **EXAMINER** DATE CONSIDERED * EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

2825/\$

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400 400 8	Complete if Known				
(: APR 1 9 2004 5)	Application No.	7			
	Filing Date	09/652,579 August 31, 2000			
PEGJEAN SMITTAL SHEET	First Named Inventor	· · · · · · · · · · · · · · · · · · ·			
(FOR FY 2004)	Tioning (t.) (gai tra.)				
	Group Art Unit 2825				
	Examiner Caridad Everhart				
	Atty. Docket Number 501082.14				
METHOD OF PAYMENT (Check One)	F	FEE CALCULATION (Continued)			
1. [X] The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP	3. ADDITIONAL FEES Large Entity Small E Fee Fee Fee Code (\$) Code	Fee (\$) Fee Description	Fee paid		
2. [X] Check Enclosed	1051 130 2051	Surcharge - Late filing fee or oath Surcharge - late provisional filing fee	- 5		
. FEE CALCULATION	1052 50 2052	or cover sheet			
·	1053 130 1053	Non-English specification For Filing a Request for ex parte	\$		
BASIC FILING FEE Large Entity	1812 2,520 1812	Reexamination Requesting publication of SIR prior	\$		
Fee Fee Fee Fee Description Code (\$) Code (\$)	1804 920 1804 1805 1,840 1805	to Examiner action 1,840 Requesting publication of SIR after Examiner action	\$		
1001 770 2001 385 [] Utility Filing Fee	1251 110 2251	55 Extension for reply within first month	- \$		
1002 340 2002 170 [] Design Filing Fee	1252 420 2252	210 Extension for reply within 2 nd month	-\$		
1003 530 2003 265 [] Plant Filing Fee	1253 950 2253	475 Extension for reply within 3 rd month	.\$		
1004 770 2004 385 [] Reissue Filing Fee	1254 1,480 2254	740 Extension for reply within 4 th month	\$		
1005 160 2005 80 [] Provisional Filing Fee	1255 2,010 2255	1,005 Extension for reply within 5 th month	\$		
Subtotal (1) \$ <u>0</u>	1401 330 2401	165 Notice of Appeal	\$		
2. EXTRA CLAIM FEES	1402 330 2402	. 165 Filing a brief in support of an appeal	\$		
Currént Claims Prior Extra Fee Fee Paid	1403 290 2403	145 Request for oral hearing	\$		
Total 28 - 28 = 0 x \$18 = \$0	1814 110 2814	55 Terminal Disclaimer Fee	\$		
Ind. 4 - 4 = 0 x \$86 = \$0	1452 110 2452	55 Petition to revive – unavoidable	\$		
Multiple Dependent Claims x \$ = \$	1453 1,330 2453	665 Petition to revive – unintentional	\$		
Subtotal (2) <u>\$ 0</u>	1501 1,330 ; 2501	665 Utility/Reissue issue fee	\$		
Large Entity Small Entity	1502 480 2502	240 Design issue fee	\$		
Fee Fee Fee Fee Description	1503 640 2503	320 Plant issue fee	\$		
Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20	1460 130 1460	130 Petitions to the Commissioner	\$		
1201 86 2201 43 Independent claims in excess of 3	1807 50 1807	50 Processing fee under 37 CFR 1.17(q)	\$		
1203 290 2203 145 Multiple dependent Claim	1806 180 1806	180 Submission of IDS Recording each patent assignment	\$ 180		
Reissue independent claims over original patent Reissue independent claims over original patent	8021 40 8021	Recording each patent assignment 40 per property (times number of properties) Properties	\$		
1205 . 18 2205 9 Reissue claims in excess of 20 and over original patent	1801 770 2801	Request for Continued Examination (RCE)	\$,		
1	Other fee (specify)		\$		
		Subtotal (3)	\$ 180		
		Total Amount of Payment:	\$ 180		

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Name: Mark W. Roberts, Ph.D.	Reg. No.: 46,160	Telephone: (206) 903-8800
Signature: Mal W. Llut		Date: 04-15-64